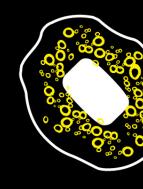
### UNIVERSITY OF TWENTE.



# **Ethics in Grant Applications**

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## Importance?







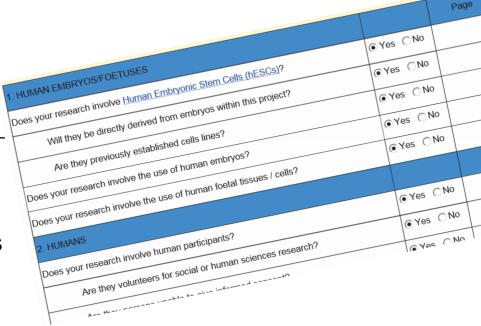
- One of Us (1.800.000 signatures 20 countries)
  Change financial regulations EU in funding activities involving destruction human embryos
- Stop Vivisection (1.200.000 signatures 28 countries)
  Support a paradigm shift in the way biomedical and toxicological research are being conducted
- Data Protection Eurobarometer 2015
  - Protection of personal data very important concern EU citizens
- Spending EU tax-payers' money for research in benefit of society...

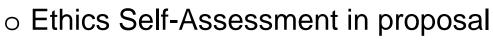




### Horizon 2020

- o Ethics Issues Table
  - Identification of ethical issues
  - 9 topics
  - Yes/No items





Section 5





### **Ethics Self-Assessment**

#### o 9 sections

- Human embryos and fetuses
- Humans
- Human cells/tissues
- Dual use (military applications)
- Environment & Health and Safety

- Personal Data
- Animals
- Third countries
- Dual use

#### Comply

- Ethical principles
- Applicable international, EU and national law



### '12 Golden Rules for Research Conduct' 1



#### Research projects must:

- 1. Respect the integrity and dignity of persons
- 2. Do no harm' (clearly communicate risks to participants)
- 3. Recognise rights to privacy and freedom of movement, and protect personal data
- 4. Meet requirements for informed consent and communication with participants
- 5. Treat animals humanely and with respect
- 6. Follow the '3 Rs' in animal experimentation design: Replace, Reduce, Refine



# '12 Golden Rules for Research Conduct' 2



- 7. Follow the principle of proportionality (do not impose on participants more than necessary)
- 8. Treat societal concerns seriously
- 9. Attempt to prevent dual-use research from being used maliciously
- 10. Recognise the wholeness of individuals
- 11. Respect biodiversity and prevent irreversible environmental or ecological damage
- 12. Recognise that research is for the benefit of society, and that threats to society from research must be considered

(Ethics for researchers, page 24)



### **Humans**

- Research subjects
  - type
- o Intervention
  - Risk assessment



- Medical Research involving Human Subjects Act (WMO)
  - Good Clinical Practice (ICH-GCP)
- MIRA intranet



#### Personal Data

- Any information which relates to an identified or identifiable natural person
  - Sensitive
- Processing
  - Data Management Plan (Maarten van Bentum)
- Personal Data Protection Act (WBP)
  - Codes of Conduct (VSNU, FMWV, ...)
- EU directive 95/46/EC
- Data Protection Officer: Roderic Winkelhorst



# **Environment & Health and Safety**

- - Health and safety of involved researchers
    - Risk assessment
  - Health and safety procedures University



### Conclusion

- Comply with ethical principles and applicable international, EU and national law
- Advice available within University however depends on research area (sections)
- Proposal level
  - Ethics <u>not</u> directly part of the evaluation
  - Assessed by independent Ethics Committee after proposal is granted
  - Comments can be addressed during grant agreement preparation



#### Useful links H2020

#### How to Complete Your Ethics Self-Assessment

http://ec.europa.eu/research/participants/data/ref/h2020/grants\_manua
 l/hi/ethics/h2020\_hi\_ethics-self-assess\_en.pdf

#### Ethics section of H2020 Online Manual

 http://ec.europa.eu/research/participants/docs/h2020-fundingguide/cross-cutting-issues/ethics\_en.htm





#### **Useful links Ethics**

- Ethics for Researchers
  - http://ec.europa.eu/research/participants/data/ref/fp7/89888/ethics-forresearchers\_en.pdf
- European Textbook on Ethics in Research
  - http://ec.europa.eu/research/sciencesociety/document\_library/pdf\_06/textbook-on-ethics-report\_en.pdf
- International Ethical Guidelines for Biomedical Research involving Human Subjects
  - http://www.cioms.ch/publications/layout\_guide2002.pdf



# **Useful links University of Twente**

- EU Office
  - www.utwente.nl/subsidies
- Environment, Health and Safety department
  - https://www.utwente.nl/hr/en/health-safety-environment/
- Data Protection Officer (in Dutch)
  - https://www.utwente.nl/az/gegevensbescherming/
- MIRA intranet
  - https://www.utwente.nl/mira/intranet/researchinvolvinghumansubjects/
  - https://www.utwente.nl/mira/intranet/researchinvolvinganimals/