

European Regulatory Governance (410116)
University of Twente, Master European Studies
Regulation, Europe and Innovation Track
Fall Semester 2009-2010, Quarter 2

Convenor

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Objectives

This course introduces students to the variety of ways that regulation is organised in Europe, focusing on the interaction of EU, national and private actors at all stages of the policy process.

Each week provides some theoretical literature into the topic, but focuses as well on concrete examples of how the issue works in the EU.

Literature

Various readings will be provided on the teletop site in PDF format, which you can then download to read. This syllabus provides selected tips for further reading.

Preparation and Grading

The grade for the course consists of class participation (20%) combined with a research paper (80%), to be handed in Friday, 16 January at 18:00. The paper topic must be focused on a problem of regulation or regulatory steering in the EU, either at the European level or between the EU and the member states, or it may compare the EU with another prominent regulatory system such as the US. The length of the paper should approximate 7500 words in length.

Week 1: Regulatory choice, options and challenges in the EU

Martin Lodge (2008) 'Regulation, the Regulatory State and European Politics,' *West European Politics*, Vol. 31, Nos. 1–2, 280 – 301, January–March.

Further Reading:

Giandomenico Majone (2005) *Dilemmas of European integration : the ambiguities and pitfalls of integration by stealth*. Oxford UP.

Giandomenico Majone (1996) *Regulating Europe*. London: Routledge.

Giandomenico Majone (1996a). 'Regulation and its Modes', in G. Majone (ed.), *Regulating Europe*. London: Routledge.

Esty, D. and Geradin, D. (eds) (2001) *Regulatory Competition and Economic Integration* (Oxford: Oxford University Press).

Week 2: Excursion, no class

Week 3: Delegation to the European Commission

Thatcher, M., and A. Sweet Stone (2002). 'Theory and Practice of Delegation to Non-Majoritarian Institutions', *West European Politics*, 25:1, 1–22.

Renaud Dehousse (2008) 'Delegation of powers in the European union: The need for a multi-principals model,' *West European Politics*, Volume 31, Issue 4, 2008, Pages

Pollack, M. (2002). 'Learning from the Americanists (Again): Theory and Method in the Study of Delegation', *West European Politics*, 25:1, 200–219.

Further Reading:

Thatcher, M. (2002). 'Delegation to Independent Regulatory Agencies: Pressures, Functions and Contextual Mediation', *West European Politics*, 25:1, 125–47.

Thomas Christiansen and (2006) 'The 2006 Reform of Comitology: Problem Solved or Dispute Postponed?' Beatrice Vaccari, *EIPAScope* 2006/3.

Thatcher, M. (2005). 'The Third Force? Independent Regulatory Agencies and Elected Politicians in Europe', *Governance*, 18:3, 347–74.

Gilardi, F. (2002). 'Policy Credibility and the Delegation to Individual Regulatory Agencies', *Journal of European Public Policy*, 9:6, 873–93.

Hood, C., and M. Lodge (2006). *The Politics of Public Service Bargains*. Oxford: Oxford University Press.

Keleman, R.D. (2002). 'The Politics of "Eurocratic" Structure and the New European Agencies', *West European Politics*, 25:4, 93–118.

Majone, G. (2002). 'Delegation of Regulatory Powers in a Mixed Polity', *European Law Journal*, 8:3, 319–39.

McGowan, L., and S. Wilks (1995). 'The First Supranational Policy in the European Union', *European Journal of Political Research*, 28:2, 141–69.

Anand Menon; Stephen Weatherill (2008) 'Transnational Legitimacy in a Globalising World: How the European Union Rescues its States,' *West European Politics*, Volume 31, Issue 3 May 2008 , pages 397 – 416.

Jarle Trondal (2008) 'The anatomy of autonomy: Reassessing the autonomy of the European Commission,' *European Journal of Political Research* 47: 467–488.

Alexander Kreher (1997) 'Agencies in the European Community - a step towards administrative integration in Europe,' *Journal of European Public Policy*, Volume 4, Issue 2 January 1997 , pages 225 - 245

Giandomenico Majone (1997) 'The new European agencies: regulation by information,' *Journal of European Public Policy*, Volume 4, Issue 2 January 1997 , pages 262 – 275.

Thomas Gehring; Sebastian Krapohl (2007) 'Supranational regulatory agencies between independence and control: the EMEA and the authorization of pharmaceuticals in the European Single Market,' *Journal of European Public Policy*, Volume 14, Issue 2 March 2007 , pages 208 – 226.

Matthew Flinders (2004) 'Distributed public governance in the European Union,' *Journal of European Public Policy*, Volume 11, Issue 3 June 2004 , pages 520 – 544.

Week 4: Comitology

Rhys Dogan (1997) 'Comitology: Little procedures with big implications,' *West European Politics*, Vol.20, No.3 (July 1997), pp.31-60.

C.-F. Bergstrom, Henry Farrell & Adrienne Heritier, 'Legislate or Delegate? Bargaining over Implementation and Legislative Authority in the EU,' *West European Politics*, Vol. 30, No. 2, 338 – 366, March 2007

Further Reading:

Gijs Jan Brandsma & Marianne van de Steeg (2007) 'Accountability in the European Union: the cases of the Comitology Committees and the European Council,' Mimeo, Utrecht School of Governance.

Jens Blom-Hansen (2008) 'The origins of the EU comitology system: a case of informal agenda-setting by the Commission,' *Journal of European Public Policy*, Volume 15, Issue 2 March 2008 , pages 208 – 226.

Lucia Quaglia; Fabrizio De Francesco; Claudio M. Radaelli (2008) 'Committee Governance and Socialization in the European Union,' *Journal of European Public Policy*, Volume 15, Issue 1 January 2008 , pages 155 – 166.

Week 5: Expert advisory committees: epistemic power

Lucia Quaglia (2007) 'The politics of financial services regulation and supervision reform in the European Union,' *European Journal of Political Research* 46: 269–290.

Further Reading:

Christina Boswell (2006) 'The political functions of expert knowledge: knowledge and legitimation in European Union immigration policy,' *Journal of European Public Policy*, Volume 15, Issue 4 June 2008 , pages 471 – 488.

Volker Schneider; Frank M. Häge (2008) 'Europeanization and the retreat of the state,' *Journal of European Public Policy*, Volume 15, Issue 1 January 2008 , pages 1 – 19.

Lucia Quaglia; Fabrizio De Francesco; Claudio M. Radaelli (2008) 'Committee Governance and Socialization in the European Union,' *Journal of European Public Policy*, Volume 15, Issue 1 January 2008 , pages 155 – 166.

Week 6: Implementation: balancing national difference and EU goals

Knill, C., and D. Lehmkuhl (2003). 'The National Impact of European Union Regulatory Policy: Three European Mechanisms', *European Journal of Political Research*, 41:2, 255–80.

Lee McGowan (2006) 'Europeanization unleashed and rebounding: assessing the modernization of EU cartel policy,' *Journal of European Public Policy* 12:6 December 2005: 986–1004.

Further Reading:

A. Héritier et al. (2001) *Differential Europe: New opportunities and restrictions for member-state policies*. Lanham : Rowman & Littlefield.

Knill, C. (2001). *The Europeanization of national administrations: Patterns of institutional change and persistence*. Cambridge : Cambridge University Press.

Jordana, J., D. Levi-Faur and I. Puig (2006). 'The Limits of Europeanization: Regulatory reforms in the Spanish and Portuguese Telecommunications and Electricity Sectors', *Governance*, 19:3, 437–64.

Heritier, A., D. Kerwer, C. Knill, D. Lehmkuhl, M. Teutsch and A.C. Douillet (2001). *Differential Europe*. Lanham, MD: Rowman & Littlefield.

Falkner, G., O. Treib, M. Hartlapp and S. Leiber (2005). *Complying with Europe*. Cambridge: Cambridge University Press.

Claudio M. Radaelli (2005) 'Diffusion without convergence: how political context shapes the adoption of regulatory impact assessment,' *Journal of European Public Policy* 12:5 (October): 924–943.

Week 7: Persuasion and Enforcement

Miriam Hartlapp (2007) 'On Enforcement, Management and Persuasion: Different Logics of Implementation Policy in the EU and the ILO,' *Journal of Common Market Studies* Vol. 45, No. 3, pp. 653–674.

Francesco Duina; Tapio Raunio (2007) 'The open method of co-ordination and national parliaments: further marginalization or new opportunities?' *Journal of European Public Policy*, Volume 14, Issue 4 June 2007 , pages 489 – 506.

Lodge, M. (2007). 'Comparing New Modes of Governance in Action: The Open Method of Coordination in Pensions and Information Society', *Journal of Common Market Studies*, 45:2, 343–65.

Further Reading:

Hodson, D., and I. Maher (2001). 'The Open Method as a New Mode of Governance: The Case of Soft Economic Policy Co-ordination', *Journal of Common Market Studies*, 39:4, 719–46.

Schaefer, A. (2004). 'A New Form of Governance? Comparing the Open Method of Coordination to Multilateral Surveillance by the IMF and the OECD', Max Planck Institute for the Study of Societies, working paper 5.

Zeitlin, J. (2005). 'Introduction: The Open Method of Co-ordination in Question', in J. Zeitlin and P. Pichot with L. Magnusson (eds.), *The Open Method of Co-ordination in Action: The European Employment and Social Inclusion Strategies*. Brussels: PIE Peter Lang.

David Chalmers and Martin Lodge (2003). *The Open Method of Co-ordination and the European Welfare State*, CARR discussion paper No. 11. London: London School of Economics.

Mark Thatcher (2007) 'Regulatory agencies, the state and markets: a Franco-British comparison,' *Journal of European Public Policy*, Volume 14, Issue 7 October 2007 , pages 1028 – 1047.

Lene Holm Pedersen (2006) 'Transfer and transformation in processes of Europeanization,' *European Journal of Political Research* 45: 985–1021.

Week 8: Policy Networks

Eberlein, B., and E. Grande (2005). 'Beyond Delegation: Transnational Regulatory Regimes and the EU Regulatory State', *Journal of European Public Policy*, 12:1, 89–112.

Ed Randall (2007) 'Not that soft or informal: a response to Eberlein and Grande's account of regulatory governance in the EU with special reference to the European Food Safety Authority (EFSA),' *Journal of European Public Policy*, Volume 13, Issue 3 April 2006 , pages 402 – 419.

Further Reading:

Coen, D., and A. Heritier (2005). *Redefining Regulatory Regimes*. Cheltenham: Edward Elgar.

Weber, K. and Hallerberg, M. (2001) 'Explaining Variation in Institutional Integration in the European Union: Why Firms May Prefer European Solutions'. *Journal of European Public Policy*, Vol. 8, No. 2, pp. 171–91.

Dehousse, Renaud (1997) *Regulation by Networks in the European Community: The Role of European Agencies*. *Journal of European Public Policy* 4:2 , pp. 246-261.

David Bach; Abraham L. Newman (2007) 'The European regulatory state and global public policy: micro-institutions, macro-influence,' *Journal of European Public Policy*, Volume 14, Issue 6 September 2007 , pages 827 – 846.

Sabatier, P.A. (1998). *The advocacy coalition framework: Revisions and relevance for Europe*. *Journal of European Public Policy* 5: 98–130.

Hans-Peter Kriesi, Edgar Grande, Romain Lachat, Martin Dolezal, Simon Bornschieer & Timotheus Frey (2006) 'Globalization and the transformation of the national political space: Six European countries compared,' *European Journal of Political Research* 45: 921–956.