# Sublimation of 6,13-pentacenequinone from pentacene single crystal surfaces



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## Summary

Pentacene has become an important model system for the fundamental studies on organic semiconductors. An introduction to organic semiconductor theory was presented (Ch. 1). A cleaning procedure of the pentacene single crystals was proposed, based on the difference in sublimation behavior of the two main components, pentacene and 6,13-pentacenequinone (Ch. 2). The surface of pentacene single crystals was characterized by SEM, at a low electron beam acceleration voltage (0.3 kV and 0.5 kV). (Ch. 3). The low electron beam energy insured that only the surface of the crystals was imaged. It was shown by SEM and AFM<sup>[44]</sup> that patches of varying thickness and area size were present on the as-received physical-vapor-grown pentacene single crystals. It was found that 6,13-pentacenequinone (PenQ) is present on the surface of these crystals, indicated by the molecular step heights measured by AFM. The proposed cleaning method was successfully applied in removing the surface layer PenQ. The PenQ surface layer could be removed by heat-treating the pentacene single crystals samples in a vacuum, although the temperature range was found to be narrow.

An semi-automatic SEM image processing technique was developed to monitor the surface sublimation process more accurately. The sublimation rate was 75 nmol·h<sup>-1</sup>·m<sup>-2</sup> and 1933 nmol·h<sup>-1</sup>·m<sup>-2</sup> at 82°C and 118°C respectively. The samples were imaged by SEM while they were being heated inside the vacuum chamber of the SEM. An SEM sample heater was developed for the experiment. The sublimation activation energy of the cleaning process was determined (0.85 eV) using the same imaging data. A standardized cleaning procedure was recommended from these results.

# Sublimation of 6,13-Pentacenequinone from pentacene single crystal surfaces

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## About the cover

The blue crystal is a model of a pentacene single crystal. The model was made using Google Sketchup 7. A photograph of a pentacene singe crystal is textured on the model surface. The green and blue arrows indicate the a-b plane, which is also the crystal growth direction with the largest flat surfaces.

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# Introduction

Traditionally, electronic devices have been manufactured using silicon-based technologies. This silicon technology dates back as early as 1947 with the invention of the first solid state transistor and the first silicon transistors in 1954<sup>[1]</sup>. The matured semiconductor technology and the industry surrounding it, has proven to be well suited for the applications presently on the market, such as low cost and yet high performance processors for laptop computers. However, a successor to this classical semiconductor technology is beginning to emerge, as there is intensified interest in academia and industry in *organic electronics* and *organic semiconductors*.

These new semiconductor devices are fabricated using carbon-based materials as the functional semiconductor material. The potential commercial benefits, namely cost reduction, are substantial to say the least. Although Keynian economics<sup>[81]</sup> plays a large role in the motivations to investigate these new materials, cost reduction is certainly not the only reason why organic electronics has received such increased attention recently. Exciting and seemingly endless novel applications will become possible in the near future using the organic semiconductor materials in organic electronic devices. What specific applications will find their way to the consumer or industrial market, only time will tell. Several *early adopter* products and applications, *e.g.* flexible organic light emitting displays (OLEDS), are entering the market at this moment<sup>[2]</sup>.

Many of those more futuristic applications are prototyped today, *e.g.* the wearable eye lens matrix display<sup>[3]</sup>, potentially enabling the wearer to access online information at any time and any place.

Having said that, these organic materials are not only useful in practical applications but organic semiconductor systems have also found their way into fundamental research, to investigate the mechanisms that give these materials their unique properties.

Material properties, *e.g.* electrical resistance, are closely connected to the underlying chemistry and physics of a material. Notably, unintentional impurities can negatively affect the performance of the organic semiconductor material, or any devices fabricated with the material.

Single crystal systems are chosen for their highly pure composition. However, single crystal systems are more complex than normally reported in literature, as was also suggested in work by *e.g.* Jurchescu *et al* <sup>[4]</sup>.

Pentacene (Pen) is a particularly well studied compound and has become the model system for studying the physical properties in molecular organic semiconductor materials <sup>[5-8]</sup>. Single crystal systems, including pentacene, are used increasingly in fundamental research programs, while thin-film pentacene research is shifting towards industrial applications. Single crystal pentacene is commonly selected by researchers to study the intrinsic properties of the pentacene system <sup>[4]</sup>.

One good method for the determination of the electrical properties of pentacene is by making an organic field effect transistor (OFET) using pentacene as the semiconductor,

followed by electrical characterization of the system <sup>[9-14]</sup>. A number of device structures exist for OFETs, with the bottom-gate and top-gate devices as the main two device geometries.

In the pentacene thin-film devices, a thermally evaporated layer of pentacene is applied as the semiconductor layer. The apparent electrical properties of the pentacene is influenced by a great number of causes, including the bulk purity and structural defects at different lengths scales. The electrical properties pentacene depend, to a large degree on the defects in the material. The presence of defects in pentacene can be differentiated into extrinsic and intrinsic defects or properties. The presence of external factors, extrinsic defects, in the pentacene material should be seen separated from the intrinsic properties of pentacene. Intrinsic properties can be viewed as the physical properties of the material, independent of length scale or processing techniques. In contrast, the extrinsic properties can better be seen as the behavior of those intrinsic properties under some external factor.

Extrinsic defects in Pen thin films include grain boundary interfaces. These grain boundaries interfere with the determination of the intrinsic properties. This leads to measurements that show a non-optimal performance of the materials properties (*e.g.* charge mobility) then what can be expected on theoretical grounds.

On the long term, the goal of these investigations is to learn about the fundamental properties of pentacene. (by *e.g.* the fabrication and characterization of pentacene single crystal field effect transistor devices.)

In this work we aim to investigate the surface of pentacene single crystals and the presence of surface impurities on these crystals. It is hypothesized that the surface impurities have a determining character on the electric properties of pentacene single crystals, and that a solution to these surface impurities has to be found before one can expect to fabricate pentacene single crystal field devices with a consistent and high performance.

In order to improve the understanding of the intrinsic properties of short conjugated molecular semiconductor compounds, it is necessary to study mono crystalline materials, such as single crystal pentacene. The need to study single crystal organic semiconductor materials is widely recognized within the material science community <sup>[4,15]</sup>.

In chapter 1 an introduction to semiconductor theory can be found, followed by chapter 2 detailing about the equipment and the procedure that was used to characterize the pentacene single crystals. In chapter 3 the results of these experiments are discussed, with a brief summary of the most important topics in the conclusion of chapter 4.

# **Theoretical background**

## 1.1 Organic semiconductors

Ideally, organic semiconductor materials are composed of pristine conjugated polymers and conjugated oligomers. The oligomers are structurally similar to conjugated polymers with the oligomers containing 2–20 monomer units (Fig.1.1, Fig.1.4). An increasing number of soluble conjugated semiconducting polymers and oligomers is available. *E.g.* Noh reported the application of poly(dioctylfluorene-co-bithiophene) (F8T2) and poly(2,5-bis(3-alkylthiophen-2-yl) thieno[3,2-b]thiophene (pBTTT) in a solution processed field effect transistor device <sup>[16]</sup>. Other solution processed were reported by researchers, including (DH4T)<sup>[17]</sup>,(P3HT)<sup>[18]</sup>,(P3OT)<sup>[19]</sup> and Polypyrrole (Ppy)<sup>[20]</sup>.

Small molecular weight oligomers form into a highly crystalline material more easily than high molecular weight polymers.

Pristine conjugated oligomers find applications as semiconductor materials with a high carrier mobility, rather then as a conductive material in the doped state.

Strong  $\pi$  molecular orbital overlap between adjacent conjugated chains decreases solubility by organic solvents. However, for high charge mobility a strong  $\pi$ - $\pi$  interaction is desirable <sup>[21]</sup>. This means that the carrier mobilities in soluble semiconductors are lower than in highly crystalline insoluble conjugated materials *e.g.* pentacene. A compromise has to be made between solubility and  $\pi$ - $\pi$  stacking of the chains. The solubility of rigid conjugated carbon chains is increased by the addition of long aliphatic side chains. *E.g.* hexyl side chains in DH4T or octyl side chains in P3OT render these two organic semiconductors soluble, whereas polythiophene itself is insoluble in any convenient organic solvent. An interesting approach to an improved crystallinity of an oliogothiophene was reported by Chang. In the latter work, the precursor  $\alpha,\omega$ -substituted sexithiophene (EtB12T6) was inkjet printed onto a substrate. The precursor was thermally decomposed at approximately 180°C into the insoluble and highly crystalline EtT6 oligomer <sup>[21]</sup> (Fig.1.1).



**Figure 1.1:** Thermal decomposition of a soluble semiconductor precursor (EtB12T6). The decomposition product of the solution processed oligomer EtB12T6 is the highly crystalline EtT6 [22].

#### 1.1.1 Semiconduction in organic materials

Semiconduction in polymer materials is rather interesting because most polymers are extremely good electrical insulators. Indeed, polymers are the material type of choice in the application of electrical cable insulation. What then, does make this class of organic materials semiconductive? Organic semiconductors share common structure-function properties, independent of the specific individual materials or their synthesis routes. This class of materials differs on several key points from classical semiconductors. The next paragraphs cover the basics of organic semiconductors and illustrates what mechanism and structures allow the transport of charge carriers through the material.

### 1.1.2 Conjugation

The common structural feature in organic semiconductors is the presence of a conjugated backbone of alternating single and double bonds. The sp<sup>2</sup> hybridized carbons form a planar and rigid backbone structure, with  $\pi$ -electron density parallel to the main chain in the same plane. The simplest possible structure of this kind is polyethyne (or more commonly named polyacetylene) (Fig. 1.2). It was the (re)discovery of I<sub>2</sub>-doped and highly conductive polyacetylene by Shirakawa *et al* in the 1970s' that led to a large increase of the research field of organic semiconductors <sup>[23]</sup>. Note that the conjugated structure is also found in the skeleton of the thiophene oligomer depicted in Fig. 1.1.



**Figure 1.2:** Sketch of the polyacetylene backbone. This basic structure is found in all organic semiconductors that belong to the class of conjugated organic semiconductors.

#### 1.1.3 Charge transport

Let us imagine a long and evenly spaced one dimensional lattice of pz orbitals, that were left unhybridized on the sp<sup>2</sup> carbons. The one dimensional lattice is represented schematically in Fig.1.3. Mixing of the p<sub>z</sub> orbitals would lead to a continuous band that is half-filled with electrons. A material with the latter band structure would show metallic conductivity. Energy minimalization leads to displacement of the sp<sup>2</sup> carbons into pairs with shorter and longer distances. The energy minimalization by periodic displacements is an example of a Peierls distortion. Chemically this result is interpreted as a chain of alternating single ( $\sigma$ ) and double bonds ( $\sigma$ , $\pi$ ), with the double bond corresponding to the shortest distance. The Peierls distortion splits up the metallic band into a valence band and a conduction band. The two bands are separated by a forbidden zone, by an energy distance of the band gap Eg (equal to the Peierls minimization energy)<sup>[24]</sup>. When the system is at a absolute zero Kelvin the electrons with the highest energy have an energy at the Fermi level E<sub>f</sub>. The Fermi level lies in the band gap. There are no molecular states present in the band gap, therefore no states are available to accommodate the electrons that have a slightly increased energy by the applied electric field. This means that there can be no electron transport at zero Kelvin in semiconductors. At a temperature above

absolute zero there is an increasing fraction of thermally excited electrons transferred from the valence band into the conduction band. Both the electrons e<sup>-</sup> in the conduction band (N-type) as well as the holes  $h^+$  in the valence band (P-type) contribute to the transport of charge in the ideal material. Only a very small fraction of electrons is thermally excited into the conduction band at room temperature, to give a free electron concentration of n. These materials show an intrinsic electrical conductivity that lies between that of good insulators and that of metals. Electrical conductivity ( $\sigma$ ) is a function of the carrier concentrations (n,p) and the carrier mobility ( $\mu$ ), shown in Eq.1.1. The mobility is a material property that indicates how easy the charges are accelerated through the lattice by an applied electrical field.

$$\Sigma \sigma_n = q n \mu_n \tag{1.1}$$

Pristine organic conjugated materials show both N- and P-type conductivity in principle, but most organic semiconductors show only hole conductivity because electron conductivity is suppressed by electron traps. Charge traps occur at defects such as foreign impurities at grain boundaries or at the interface with the electrodes.



**Figure 1.3:** Schematic of band formation and consequent band spitting caused by Peierls distortion of an one-dimensional lattice of carbon sp<sup>2</sup> atoms, represented by the black dots in **c**) and **d**). The energy  $\Delta E$  that is released during the transition corresponds to the band gap  $E_g$ . The evenly spaced orbitals of **c**) would give rise to the metallic state **a**). At zero Kelvin the electrons with the highest energy are at the Fermi level  $E_f$ .

#### 1.1.4 Charge carriers

There important differences between conventional inorganic are several semiconductors and organic semiconductors. Most notably, the charge carrier species differ from classical inorganic semiconductors. In conventional semiconductors (e.g. silicon) charges are fully delocalized and do not significantly distort the lattice in their immediate surrounding. In organic semiconductors, electrons and holes are only delocalized over a part of the conjugation length (Fig.1.4). The conjugation length is the undisturbed section of alternating single and double bonds. Short oligomeric materials show a conjugation length of 2-10 nm which is equal to their molecular length. Polymeric materials show a wide range of effective conjugation lengths 2->>1 nm, largely independent of the absolute chain length. The effective conjugation length in polymeric semiconductors is determined by disorder <sup>[24]</sup> (Fig.1.5).

Charges are carried on the backbone in an organic semiconductor, extending over 14–20 carbon bonds or less in small conjugated oligomers. A few species have been determined: positively and negatively charged polarons, charged bipolarons, neutral and charged solitons (table 1.1). The bonds of the moieties are locally transformed back into a configuration that is closer to the non Peierl-stabilized chain, with evenly spaced bonds at the center of the distortion. The local distortion creates a number of new local states inside the band gap. The number of states depends on the number of  $\pi$  electrons that participate in the distortion [24].

**Table 1.1.** Charge species found in conjugated carbon chains. Note that the neutral soliton has no charge.

 Solitons moieties are only found in polyacetylene.

Moiety	Charge (e)
Positive polaron	+1
Negative polaron	-1
Positive bipolaron	+2
Negative bipolaron	-2
Positive soliton	+1
Negative soliton	-1
Neutral soliton	0
	(-) charge
r .	



**Figure 1.4:** Negatively charged polaron on the conjugated backbone of organic semiconductor materials. The prototype structure of polyacetylene (shown) is found in these materials. The charge is delocalized over a distance of approximately 10 backbone carbon bonds, resulting in evenly spaced atoms at the center of the polaron. Note that in polyacetylene itself, polarons are also solitons because the conjugation is doubly degenerated to the interchange of double and single bonds.

The negative polaron is formed by the addition of an electron to the  $\pi$  system which responds by delocalizing electron density over a distance of approximately 10 carbon bonds (Fig.1.4). Positively charged polarons are formed by the removal of an electron from the  $\pi$  system. The addition of electrons (reduction) or removal or electrons (oxidation) by foreign atoms or molecules (*e.g.* I<sub>2</sub>) is called doping in the context of organic semiconductors. Doping sharply increases the free carrier concentration, thereby increasing the electrical conductivity of the material. This definition of doping differs from conventional inorganic semiconductors. Doping in silicon means the substitution of native lattice atoms by a foreign atoms with a similar ionic radius, but a different oxidation state.

The delocalization of charge is energetically favorably compared to the localization of the charge onto a single atom. However, the total energy of the chain section increased by the polaron formation. A bipolaron is formed by the association of two polarons on the same backbone is. The combined energy of two separate polarons is larger than two closely spaced polarons, despite coulombic repulsion. Spin alignment further lowers the energy of the paired polarons. Bipolarons have integer spin <sup>[24,25]</sup>.

Solitons are only encountered in degenerate conjugated backbones, and thus the only practical example is polyacetylene. No other organic semiconductors are degenerate to the interchange of the sequence of single bonds and double bonds. The neutral soliton is a

special case because no charge is carried by the neutral moieties. A change in the sequence of singe-double bonds at the location of the neutral soliton gives rise to a delocalized radical, similar to the delocalization of the polaron moieties but without charge.

## 1.1.5 Transport in non-ideal organic semiconductors

In practical organic semiconductor materials both a thermally activated hopping mechanism and band conduction contributes to the charge transport. The direction of charge transport is parallel to the chain in the idealized lattice shown in Fig.1.4. However, disorder caused by *e.g.* defects lets us to extend the simple picture to the representation in Fig.1.5.

In real single crystal materials, transport can occur in all directions. The mobility is typically anisotropic with the crystal direction. *E.g.* the mobility as a function of the crystal direction of rubrene single crystals can be found in the review article by Reese *et al* <sup>[26]</sup>.

When band conduction is the dominant transport mechanism, increasing the temperature increases the conductivity by increasing the effective carrier concentration. On continued increasing of the temperature, phonon scattering begins to dominate the transport and lowers the mobility. This is classical semiconductor behavior. However, when carrier transport is best described by a hopping mechanism, no appreciable conductivity is observed below a threshold temperature. Above the threshold, corresponding to a thermal activation energy of  $k_bT$ , charge carriers are free to diffuse through the material. Phonon scattering again lowers mobility on continued increasing of the temperature.



Conjugation length

**Figure 1.5:** A representation of a positively charged polaron in the prototypical polymeric semiconductor material polyacetylene. Disorder in the chain lowers the effective conjugation length to less then the absolute chain (n). The polaron is delocalized only over a part of the conjugation length.

The effective mobility that is found by a time of flight (TOF,  $\mu_{tof}$ ) measurement or by a field effect transistor ( $\mu_{FET}$ ) characterization is typically orders of magnitude lower than the intrinsic mobility of the perfect, defect free and monocrystalline material. Furthermore, the FET mobility is lowered by a mismatch at the interface between the workfunction ( $\chi$ ) of the electrode metal and the ionization potential ( $E_{\infty}$ - $E_c$ ) of the organic semiconductor (Fig. 1.3.). Careful selection of electrode materials minimizes the interface energy barrier.

Grain boundaries in polycrystalline organic materials introduce another extra barrier to FET carrier mobility. The contribution of the grain boundaries to the total energy barrier is a function of the number of grain boundaries. The grain boundaries are passed by the carriers traveling between the electrodes. Large crystallite size compared to the electrode-electrode distance minimizes the grain boundary influence. Other defects *e.g.* impurities, further lower mobility. In general any defect type lowers the mobility by introducing extra disorder (Eq.1.2).

 $1/\mu_{effective} = 1/\mu_{intrinsic} + 1/\mu_{grain \ boundary} + 1/\mu_{electrodes} + 1/\mu_{m} + 1/\mu_{m+1} + ... + 1/\mu_{M}$ (1.2)

#### 1.1.6 Order

Small molecular oligomer and polycyclic aromatic semiconducting molecules are organized into crystal domains by vd Waals interaction, typically with the fastest crystal growth direction perpendicular to the backbone to maximize  $\pi$  electron overlap between adjacent molecules. High molecular weight conjugated polymer organize into crystalline domains of stacked sheets and spherulites, surrounded by randomly packed areas <sup>[27]</sup>. Despite oligomers having a smaller conjugation length, higher effective mobilities are observed in oligomeric systems when compared to chemically equivalent polymer systems (*e.g.* six-thiophene 6T compared to polythiophene). The higher mobility of the oligomers is caused by the better crystallinity of oligomeric systems <sup>[26]</sup>. Often a 'herringbone' crystal lattice is observed in molecular sheets of well ordered rigid oligomers. Bonding strength between sheets is weak because no  $\pi$  electron overlap takes places between molecules of neighboring sheets.

Figure 1.6 illustrates the structural order in organic semiconducting oligomers at different length scales, starting at the atomic level. Fig.1.6a): Bond lengths between the sp<sup>2</sup> carbons are ~0.14 nm, and form a rigid and planar chain. The conjugated carbon skeleton is found in all organic semiconductors. Fig.1.6b): The molecular lengths of the oligomers are 1-10 nm. For example, the molecular length of DH4T is 3.1 nm. The DH4T molecule is more linear than is depicted in Fig.1.6b below <sup>[28]</sup>. Fig.6c): Schematic side view of sheets of the molecules organized in the herringbone structure. The sheets of the DH4T molecules are 2.8 nm thick because the molecules are tilted at an angle of 23.3° with respect to the sheet normal. Fig.1.6d,e): The sheets are stacked into crystal domains of variable size. A grain size of 5-10  $\mu$ m was found by Muck *et al* in vacuum deposited thin films of DH4T <sup>[28]</sup>. Fig.1.6f): The dark-blue area illustrates the organic semiconductor in a FET device, with channel lengths of 0.1-100  $\mu$ m.



Figure 1.6: Order in organic semiconductor materials, starting at the nanometer scale of molecules  $\mathbf{a}$ ) up to the micrometer scale length scale of  $\mathbf{f}$ ) devices.

The molecular order in thin films of the poly (3-alkyl-thiophene) P3OT (Fig. 1.7) is different from the molecular order in di-alkyl-oligothiophene films depicted in Fig.1.6b,c. In the polythiophene film the backbone was parallel to the substrate, whereas in the oligogomer film the backbone was perpendicular to the substrate <sup>[27]</sup>.



**Figure 1.7:** Molecular order in P3OT (poly(3-octyl-thiophene)) thin films. The thiophene backbone is parallel to the substrate, and the alkyl chains are organized perpendicular to the substrate. Reproduces from [27].

## 1.2 Pentacene

Figure 1.8(a) shows the molecular structure of pentacene ( $C_{22}H_{14}$ ). Then, at a larger length scale, figure 1.8(b) shows multiple pentacene molecules stacked in the so called herringbone crystal packing. Next, figure 1.8(c) shows a pentacene single crystal at an even larger length scale. The individual molecules cannot longer be seen in the image. These images show the idealized model of a defect free, high purity, single crystal of pentacene. Although real pentacene single crystals are not nearly as perfect as shown in image of figure 1.8, it is a useful starting model. The simplistic model can be extended with the incorporation of defects (*e.g.* impurities, strain) to better approach the realistic situation.



Figure 1.8(a): Pentacene molecular structure, C<sub>22</sub>H<sub>14.</sub>



Figure 1.8(b): Pentacene crystal packing with the characteristic herringbone structure in the a-b crystal direction. Modified from [31].



**Figure 1.8(c):** Model of a pentacene single crystal. The a-b crystal direction is indicated by the red and green arrows, the c crystal direction is indicated by the blue arrow.

Pentacene is the fifth in the aromatic series starting with benzene, naphthalene, anthracene and tetracene. The molecular chemistry is related to both the aromatic parent compound benzene and, at the other end of the scale, to a single sheet of graphite (graphene). Structurally, pentacene behaves analogue to other the molecular organic semiconductor compounds, such as the class of short thiophenes (*e.g.* Fig. 1.1).

Pentacene thin films are primarily deposited by a thermal evaporation technique<sup>[30]</sup>. A number of other techniques have been developed in literature for the deposition of pentacene thin films or single crystals. Notably, molecular beam deposition (MBD) is currently intensely studied as a means of kinetic controlling the growth of the pentacene thin layers<sup>[31-35]</sup>. Yet another, but not yet widely adopted method, is pulsed laser deposition (PLD) of thin film pentacene. PLD potentially allow for a well controlled kinetics of the pentacene layer growth<sup>[36-41]</sup>.

Practical issues make the fabrication of electrodes and dielectrics on single crystals challenging. Pentacene single crystal field effect transistors have been fabricated in literature in two main ways: by directly patterning the source/drain and gate(dielectric) structure onto the surface of the single crystal, or by the 'flip crystal' method. In the last method, the single crystal is positioned onto a ready-made source/drain gate(dielectric) structure and held in place by electrostatic forces. Both traditional metal-oxide based dielectrics as well as organic (*e.g.* parylene) dielectric materials have been used.

Many groups have used Ag-epoxy or graphite-epoxy electrodes. These devices showed problems such as 'flicker' noise <sup>[42]</sup> in the IV data, or showed a large IV hysteresis in the IV curve. The hysteresis effect means the IV curve is different when the current goes from source to drain or drain to source respectively.

Pentacene is typically characterized as a P-type organic semiconductor. However, an ultra pure, defect-free specimen of pentacene would show its intrinsic conductivity to be originating from both free holes as well as free electrons. The normally observed absence of significant electron conductivity is due to fixation, or trapping, of these negatively charged electrons.

## 1.2.1 Single crystal pentacene

As mentioned earlier, single crystal pentacene is commonly selected in literature to study the intrinsic properties of the pentacene system. Using single crystals effectively eliminates grain boundary interfaces. Although the elimination of grain boundary influences is a good first step, other interfaces play an equally important role during the electrical characterization.

Figure 1.9 shows an optical photograph of an as-received pentacene single crystal. Pentacene single crystals can be as large as 10x5 mm with ~  $20 \mu \text{m}$  thickness. The single crystals have a dark blue appearance, fading into a dark purple when the crystals get very thin. It was visible under the polarized lights of a optical microscope that the single crystals were in fact composed of several large, mm-sized grains in most investigated crystals.



**Figure 1.9(a):** Pentacene single crystal on a silicon substrate. A silver particle suspension glue was used to secure the crystal in place, the glue is visible in the top left and bottom right in the picture. The crystal width is approximately 1 mm. The diagonal features indicate that the top surface is not perfectly aligned to the a-b direction; a perfect alignment would not show such features. The brighter colored squares and circles are Au electrodes deposited by pulsed laser deposition on the pentacene surface. (b) SEM image of the same sample.

As mentioned, single crystal pentacene is commonly selected by researchers to study the intrinsic properties of the pentacene system<sup>[4]</sup>. Using single crystals effectively eliminates grain boundary interfaces. But quite independently of the bulk characteristics of the crystals, it is the surface of the pentacene crystals that is important for the intended application in field effect transistors.

The elimination of grain boundary influences is a good first step, however, other factors play an important role during the electrical characterization. The other factors that have a impact on the electrical characteristics of single crystals pentacene include: impurities, adsorbed gasses (e.g.  $O_2$ ,  $N_2$ ), adsorbed water vapor, reaction products (*e.g.* by photo catalyzed oxidation), fabrication techniques and the materials used.

The focus in this work lies with the oxidation product of pentacene: 6,13-pentacenequinone (PenQ,  $C_{22}H_{12}O_2$ ). The overall reaction with water as the other product is shown in Eq. 1.3. The detection of PenQ on the crystals is caused either by oxidation after the crystals have been grown or is caused by the co-deposition of PenQ from an impure pentacene source.

## Hv,air $C_{22}H_{14} + 3O_2 \rightarrow C_{22}H_{12} + 2H_2O$ (Eq. 1.3)

Jurchescu *et al* reported the electrical characteristics of single crystal pentacene, influenced by the diffusion of air (ambient and dry) into the single crystals. In their 2005 paper, thermo gravimetric analysis (TGA) at room temperature and atmospheric pressure was applied to monitor the mass change. After 5 hours (300 min) the sample mass increased by approximately 2.0%, not depending on the gas used ( $O_2$ ,  $N_2$ , Ar).

The intercalated water causes trapping of injected charges. The water-induced traps lower the electrical conductivity by decreasing the number of free carriers and increasing the energetic disorder. The opposite effect is observed on the absorption of  $O_2$  molecules into the pentacene crystals. It is likely that the electronegative oxygen is able to increase the conductivity by increasing the free carrier concentration. The pentacene molecules donate electron density to the oxygen, thereby forming electron holes.

Additionally, in their work of 2005, they performed the identical experiment in the dark and under illumination by fluorescent light. The experiment showed an increase in the electrical conductivity of the samples, when compared to the dark measurement. No apparent chemical reactions were observed in their samples, tested under any of the experimental conditions. The samples could be brought back to the starting weight. The electrical characteristics were reversible as well.

The well controlled fabrication of Au metal contacts for the fabrication of FET devices is one the factors needed for good device performance. In an experiment by Peter de Veen with the primary aim to make gold contacts on the surface of pentacene, PLD was used to make the Au metal electrodes. PLD is a powerful fabrication tool because it gives full control over the deposition parameters. In our case, the electrode metal (Au) needs to lands on the fragile pentacene surface. This requirement has led to the 'soft landing' technique<sup>[43]</sup>. The approximately 50 nm thick Au layer seemingly does not disturb the pentacene layer beneath as the steps are still observable through the 50 nm thick layer. The pentacene steps are 1.4 nm, for pentacene steps on the a-b face.



**Figure 1.10(a):** AFM image of the surface of single crystal pentacene. Note the characteristic terraces. The step height is 1.4 nm, corresponding to a monolayer pentacene in the c crystal direction. b) The blue green and red crosses in figure a) correspond to the blue green and red dotted lines in figure b). The white dotted lines in figure a) correspond to the solid colored lines in figure b).

Aside from a very gentle fabrication technique for the electrode and insulator materials, an exceedingly pristine surface is necessary for good device performance. Surface impurities can increase the resistance for charge injection from the electrodes through the impurities into the pentacene. In the conduction channel under the gate dielectric irregularly distributed defects increase the energetic disorder, thereby decreasing the field effect mobility of the single crystals OFETs. That is why a clean starting surface is important.

Reports on the characterization of field effect transistor devices find a 'energetic disorder' encountered by the charge carriers when they travel along an a-b surface layer that has defects. These defects can be impurities, stress, vacancies or other factors that disrupt the iso-fermi energy level along the projected path of a charge carrier through the crystal surface. The sensitivity is high of the energy state of the stacked molecules under the influence of an applied external electric field in the c crystal direction as no

significant hybridization of adjacent molecules takes place in the c direction. As a result, no molecular band is formed in the c direction. Hybridization and band formation does occur in the a-b direction however, as was reported in literature.

### *1.2.2 6,13-pentacenequinone on pentacene single crystals*

The above mentioned factors that have an impact on the electrical performance, are not necessarily distributed homogenously throughout the pentacene single crystals. The defects at, or close to, the surface will have the greatest effect of these electrical properties, and yet, it is the surface that shows the presence of increased concentration of the oxidation impurity 6,13-pentacenequinone. Jurchescu *et al* reported an elegant way to incorporate the surface impurities into the dielectric layer of a field effect transistor by selecting 6,13-pentacenequinone as the dielectric layer. The FET devices showed a field effect mobility approaching values that are normally only found in space charge limited (SCLC) mobility measurements.

Both non-ohmic contacts as well as ohmic contacts were observed in work by de Veen<sup>[44]</sup> in an apparently similar system, raising questions on the origins of the observed discrepancies.

A layer of PenQ can form an insulating surface layer, as was shown in work of Jurchescu<sup>[45]</sup>. For good electrical contacts, and therefore good devices, a clean pentacene surface is needed. The surface of the pentacene crystals play a critical role during the characterization of electrical properties when devices are fabricated on the surface of these crystals. Irregular surface layers of PenQ cause an increase of the contact resistance of metal-pentacene contacts.



Fig 1.11: 6,13-pentacenequinone molecular structure,  $C_{22}H_{12}O_2$ .



**Figure 1.12:** Pentacene single crystal with Au contacts on a silicon substrate. A silver particle suspension glue was used to secure the crystal in place, the glue is visible in the top left and bottom right in the picture. The crystal width is approximately 1 mm.

It is our hypothesis that a significant fraction of 6,13-pentacenequinone is present on the surface of the pentacene single crystals, even though the overall bulk purity is far better than the purity of commercial pentacene powders. In such a scenario, 6,13pentacenequinone would be found at the pentacene-pentacene and pentacene-gas grain boundaries as the crystals are grown. This first mechanism is based on the principle that PenQ molecules do not get built into the growing lattice as easily as the pentacene molecules. See figure 1.13 for a overview of the physical vapor deposition process used for the growth of pentacene single crystals. Pentacene is synthesized by using 6,13pentacenequinone as the precursor and this ends up partially in the pentacene powders, and finally, single crystals. During crystal growth a proportionally large fraction of the impurities is deposited onto the surface, which then again can sublimate and crystallize further along the crystallization tube. Any PenQ that does stay behind on the pentacene single crystal surface is likely to adopt the bulk phase crystalline structure.



**Figure 1.13:** Physical vapor deposition setup for the growth of pentacene single crystals with Ar as the carrier gas. a) Pentacene powder source powder. b) deposition location of the pentacene single crystals inside the quartz tube. c) Location of the deposited 6,13-pentacenequinone impurity. The grey tube is surrounded by a heating coil (yellow color) which is wound at a higher coil density at the point of the pentacene powder source.



**Figure 1.14(a):** SEM image showing surface patches on a pentacene single crystal. b) AFM image showing surface patches of PenQ on a pentacene single crystal.

The second mechanism to explain the presence of PenQ on the pentacene surface, is the oxidation of the pentacene molecular layers that are exposed to light and oxygen from air after the crystals are grown. Pentacene molecules are oxidized, forming a pentacenequinone molecular layer. Bulk PenQ crystallizes in 1.779 nm think layers,

consisting of two alternating PenQ monolayers. PenQ monolayers are thinner (0.89 nm) then pentacene monolayers (1.41nm).

AFM characterization of near molecular flat a-b planed crystals showed the presence of possible step heights (Fig.1.14(b), Fig.1.15) arising from the combinations: (i) Pen-Pen (ii) Pen-PenQ (iii) Pen-PenQ.



Jurchescu *et al* reported that pentacene powder can be purified by vacuum sublimation from its main impurity PenQ. The sublimation temperature of PenQ is lower than that of pentacene, but the small difference in temperatures require a well controlled separation setup. The starting pentacene (Aldrich) powder had a impurity fraction of 0.68% PenQ <sup>[45,46]</sup>, which was lowered to 0.5% and 0.17% after a single and a double vacuum sublimation purification procedure respectively. Diffusion of the PenQ through the bulk powder is a slow process, and the total procedure took 70 hours at 157 °C (430 K) under the vacuum of a membrane pump<sup>[45]</sup>. Pentacene single crystals grown using the asreceived and double purified pentacene powder, showed an PenQ impurity level of 0.11% and 0.03% respectively<sup>[45]</sup>. The pentacene single crystals used in this work were grown from non-purified pentacene powder and were provided by the same group from Groningen and have a bulk PenQ impurity of approximately 0.1%.

The removal of PenQ from bulk pentacene powder is a slow process and thus it could be expected that the sublimation of PenQ from pentacene single crystals would similarly proceed at a slow rate. However, it was suggested in literature that the assumption that the PenQ concentration is constant in the pentacene single crystals is incorrect. It was shown by AFM that the surface concentration  $(12\%)^1$  of PenQ far exceeded the assumed bulk purity (0.03%), whereas no surface PenQ could be detected by the AFM method on an freshly exposed crystal interface<sup>[46]</sup>.

<sup>1</sup> The surface concentration was calculated from the ratio of the number of PenQ step-heights compared to the number of pentacene step-heights as measured by AFM <sup>[46]</sup>.

Removal of PenQ from the surface of pentacene single crystals does not necessarily proceed at the same slow rate as powder purification. The amount of surface PenQ to get rid off is fractionally a large amount, but the (i) absolute amount is still very little: ranging from 0.6 mg/m<sup>2</sup> to 2.5 mg/m<sup>2</sup> when assuming a fraction of 0.5 area coverage by a PenQ monolayer to a 1.0 area fraction coverage of a double molecular layer of PenQ. (ii) Furthermore, surface kinetics (*e.g.* diffusion rate) are different from bulk kinetics<sup>[47]</sup>.

## **1.3** Pentacene field effect transistors

Let us now focus on the workings of the pentacene field effect transistor. The field effect transistor is an electronic switch, controlling a current between the source and drain electrodes by the electric field from the gate electrode. The semiconductor pentacene is present either as a thin film or as single crystal. Thin film pentacene is typically fabricated by thermal evaporation and crystallization of pentacene onto a substrate (*e.g.* Si) into a polycrystalline film with varying crystal size, orientation or homogeneity. Polycrystalline and thin film pentacene are not synonymous by definition. However, to the best of my knowledge no researchers have yet reported the fabrication of a thin-film single crystals pentacene thin film, or a device fabricated with that last material.

Early investigations tried the fabrication of pentacene thin films transistors by directly evaporating the pentacene on the traditional substrate material Si/SiO<sub>x</sub><sup>-</sup> Low performance of these early devices hinted at an ill defined adherence of the pentacene on the SiO<sub>x</sub> dielectric layer. The aromatic  $\pi$  system, particularly at the 6,13 positions, is chemically reactive towards the many dangling bonds found at the interfacing SiO<sub>x</sub>, leading to an irregular surface layers adsorbance of flat laying molecules. After the surface is covered and shielded by *e.g.* self assembled monolayers, the following adsorbing pentacene molecules adopt the familiar herringbone structure in the a-b plane direction, aligning the a-b molecular sheets to the substrate. Following these early investigations, it became apparent that an interface layers was needed to bridge the world of inorganic materials systems to the world of organic chemistry <sup>[48]</sup>.

Reports in literature show that not only the electron traps in the conduction channel and the metal-pentacene interfaces prevent electron conduction. Mismatch of the ionization energy of the pentacene and the workfuntion of the metal is another cause preventing good electron injection into the pentacene layer from the source and drain electrodes. Au metal is widely applied as the source and drain electrode material because the workfunction  $\varphi$  (5.1 eV) of the metal closely matches the ionization potential of the pentacene  $\chi$  (4.85 eV) <sup>[6,10]</sup>. Furthermore, successful N-type behavior has been reported by Yasuda *et al* in an asymmetric ambipolar field effect transistor device. The used source and drain metal was Au and Ca, injecting the electrons from the highly electrondonating calcium electrode <sup>[49]</sup>. Using this scheme, the ionization potential of the pentacene is effectively shifted to a less oxidizing state with respect to the electrode material. Similarly, using the highly electronegative fluor-pentacene (all hydrogen atoms replaced by fluor atoms) also led to a N-type device, this time by shifting the organic semiconductor to a more oxidizing state, enabling the transition of an electron from the Au electrode into the fluor-pentacene matrix. An interesting application of self assembled monolayers was reported by Calhoun *et al*. They reacted a very chemically reactive self assembly monolayer (SAM) compound with an R-SiCl<sub>3</sub> (chlorosilane) end group. The chlorosilane group is reactive enough to receive electron density from the top pentacene layer, rendering the pentacene top layer electrically conductive. The group led by Calhoun *et al* showed that they could increase the surface concentration of charge carriers to  $3 \cdot 10^{23}$  cm<sup>-2</sup>, approaching the density in an opened channel in OFET devices <sup>[48]</sup>.

The two most common FET architectures in organic thin film transistors are top gate and bottom gate electrode geometries. Furthermore, the design either has top or bottom source and drain electrodes (or a combination, *e.g.* up drain and bottom source).

Single pentacene FETs are often fabricated by the 'flipped crystal' method, for practical convenience. Source and drain electrodes in the latter designs are usually Au or other metal contact, fabricated by *e.g.* photo lithographical means. The gate electrode typically consists of a doped silicon substrate, with  $SiO_x$  or other insulating material acting as the gate dielectric.

The measured total electrical resistance in a field effect transistor is composed of several components: the channel resistance and the contact resistance at the source and drain electrodes. The channel resistance and the contact resistance are convoluted in the total resistance of a typical pentacene fields effect transistor. Recognizing the importance of the components contributing to the resistance, Yagi *et al*, developed a method to differentiate the individual contributions of total resistance <sup>[50]</sup>. In their work, they describe the fabrication and characterization of a four terminal thin film transistor by a computer-controlled pulsed laser ablation (532 nm) technique of a 30 nm thick pentacene thin-film on a SiO<sub>2</sub>/Si substrate.

Although thin-film applications of pentacene do not give the same control over the investigated systems, it often sufficient for the targeted commercial applications. Industrial applications do not require the same level of control as fundamental research. The thin-films of pentacene are polycrystalline, and are typically fabricated by a thermal evaporation technique, with the two important exceptions of molecular beam deposition and pulsed laser deposition <sup>[37-41, 51,52]</sup>.

# 2 **Experimental**

## 2.1 Equipment

## 2.1.1 Nanomanipulator system

SEM images were taken with the JEOL 6490 scanning electron microscope. The scanning electron microscope is part of the nanomanipulator setup, further composed of the Keithley 4200 source measure unit and the Zyvex s100 Nanoprober. The nanomanipulator system is designed for nano-scale electrical characterization and mechanical manipulation.

## 2.1.2 Pulsed laser deposition system

The pulsed laser deposition system consisted of a vacuum chamber with optical window, a target of the deposition material, a sample holder with heater and a lens system to direct the pulsed laser radiation from a LPX2000 KrF excimer laser (248 nm 25ns) to the target. The heater in the system was used to heat the pentacene samples in a vacuum <sup>[53]</sup>.

# 2.2 Pentacene single crystal surface characterization

## 2.2.1 Characterization by SEM

The Pentacene single crystals were kindly supplied by the Solid State Chem Lab, University Groningen of the group led by Palstra.

As mentioned, 6,13-pentacenequinone (PenQ) is found as the main impurity in commercial pentacene powder as well as in carefully grown single crystals <sup>[4,15,45,58,59,54-56]</sup>. Other impurities with a lower concentration include 6,13-pentacenehydrides and peripentacenes with the latter formed by linking multiple pentacene molecules together, when the crystals are grown under a reducing atmosphere <sup>[57]</sup>. Although the fraction of PenQ is much less then what is found in the starting bulk powders: (i) it only takes a very small fraction of impurities to significantly alter the electric properties of pentacene, depending on the identity of the impurity. (ii) PenQ functions as a charge trap in the pentacene system. (iii) During pentacene single crystal growth, the PenQ is not distributed homogeneously, but is likely deposited largely on the surface of the crystal, as was also suggested in recent literature <sup>[e.g. 15,55]</sup>.

The pentacene single crystals were investigated using an electron microscope set at a low electron acceleration setting.

## 2.2.2 Atomic force microscopy

AFM measurement of the pentacene samples were performed by Peter de Veen, IMS group, University of Twente.

## 2.3 Heat treatment of pentacene single crystals

A cleaning procedure of the pentacene single crystals was proposed, based on the difference in sublimation behavior of the two main components, pentacene and 6,13-pentacenequinone.



Figure 2.1: Sublimation rate (arb.units) of PenQ in vacuum as a function of the temperature. Modified from [62]

As an preliminary experiment, SEM pictures were taken at a low electron beam acceleration setting (0.3 and 0.5 kV) in order to look at the surface layer instead of the deeper layers in the pentacene crystals. Scanning electron microscope imaging at the low acceleration voltage showed the presence of a surface layer. The surface layer consisted likely of 6,13-pentacenequinone, as discussed above. Pentacene crystals were imaged by SEM, showing partial coverage of the crystals surfaces by PenQ. Overnight heating of these samples in the vacuum of a pulsed laser deposition system with the laser not operational<sup>[53]</sup> at different temperatures showed the disappearance of the surface features with different contrast.



Figure 2.2(a): SEM picture of pentacene sample before heat-treatment b) SEM picture of pentacene sample after heat-treatment

Atomic force microscopy data showed step sizes consistent with the presence of pentacene steps in the c direction, as well as PenQ monolayers. AFM showed that the PenQ had disappeared after the heat treatments, but AFM also showed that the temperature range for removal of the PenQ is narrow. At too high temperature, and with too long heating of the samples the pentacene surface is changed significantly as the pentacene is beginning to sublimate itself as well under these conditions (See ch.3.). The AFM measurements were done by Peter de Veen<sup>[44]</sup>.



**Figure 2.3(a):** AFM image from the surface of a pentacene single crystal before heating in vacuum. b) AFM image from the surface of a pentacene single crystal after heating (70°C) in vacuum [44].

# 2.4 In situ heat treatment

## 2.4.1 SEM image processing

Optical and electron microscope imaging techniques are widely applied in research because these techniques give fast and visual results. However, the interpretation of the images typically yields qualitive information. Getting quantative information from a sequence of images through image processing is rarely performed, although in recent years good image processing software has begun to appear on the market.

As mentioned, it was observed by SEM that surface features disappeared at elevated temperatures under vacuum. The image sequences taken at different temperatures give information on the sublimation rate of the surface layer. A characterization procedure was developed to quantitively monitor the surface of the pentacene single crystals while they were being heated inside the scanning electron microscope.

The analysis procedure starts with an image sequence of the surface area of the pentacene crystals at a specific temperature, at different time intervals. The images show the surface of a pentacene crystal. Pentacene is indicated by the dark grey color, and 6,13-pentacenequinone is indicated by the lighter shade of grey. Very dark or very light regions are caused by shadows or oversaturation of the electron detector caused by *e.g.* obstruction and reflections. These regions do not change over time and can therefore be differentiated from the pentacene and sublimating surface features. The contrast between the light and dark grey value is too low to make a quantative analysis without image processing.

The image contrast was adjusted by the ImageMagick and Perl script software<sup>[60,61]</sup> and the greyscale values were mapped into four colors: black, yellow, blue and white. Black was interpretated as shadows, blue as pentacene, yellow as 6,13-pentacenequinone and white as oversaturation. The histogram information of the processed images was saved into the comma seperated value (.csv) computer file format by the Perl script and was further processed using spreadsheet software.



**Figure 2.4:** Scanning electron microscope images showing the sublimation a surface layer from a pentacene single crystal. (Sample IV, see table 2.1). From left to right: t=0h, t= 4.3h, 10.2h. The sample was heated at a temperature of 100 °C, the background pressure inside the SEM was  $< 10^{-4}$  mbar. The arrow in the dotted box indicated the front of the sublimation 6,13-pentacenequinone.



**Figure 2.5:** Scanning electron microscope images processed by imaging software for better contrast. Blue color indicates pentacene, yellow color indicates 6,13-pentacenequinone, black color indicates e.g. shadows, white color indicates e.g. over-saturation. The number of blue, yellow, black and white pixels in the rectangular cut-outs (dotted box) were counted by the software for the quantification of the sublimation process . The unprocessed image sequence was shown in figure 2.4.

The sublimation experiment was repeated at different temperatures. Table 2.1 shows the results of the sublimation experiments and confirm the observations during the preliminary sublimation experiments. Heating the samples at the lowest temperature of 82 °C yielded a sublimation rate of 75 nmol·h<sup>-1</sup>·m<sup>-2</sup>, while at 118 °C a sublimation rate of 1933 nmol·h<sup>-1</sup>·m<sup>-2</sup> was found. The approximate times that were needed to remove the surface layer were 10h and 0.41h (25min) respectively.

Sample	Т	Sublimation rate	Sublimation rate
	°C	$-m^{-2}\cdot h^{-1}\cdot m^{-2}$	$-10^{-9} \cdot \text{mol} \cdot \text{h}^{-1} \cdot \text{m}^{-2}$
I	82	0.018	75
11	88	0.070	289
III	91	0.245	1005
IV	100	0.072	296
V	118	0.471	1933

**Table 2.1:** Sample data and sublimation rate calculated from the scanning electron microscope image sequences.

Note: The sublimation rate in the third column is the actual measured sublimation rate; it is expressed in the rate of disappearing surface area. The sublimation rate in the fourth column assumes a monolayer coverage of 6,13-pentacenequinone.

# **3** Results and discussion

# 3.1 Pentacene single crystal surface

## 3.1.1 Characterization by SEM

Scanning electron microscope images showed the presence of a thin surface layer. The thin surface layer was only visible by using an low electron beam acceleration energy. (See also Ch.2.4).



**Figure 3.0:** Scanning electron microscope image of a pentacene single crystal at a low (0.5 keV) electron beam acceleration energy. The inset show a magnification of the surface to show the irregular shaped patches of 6,13-pentacenequinone.

## 3.1.2 Characterization by AFM

The as-received crystals were characterized with atomic force microscopy (AFM) by Peter de Veen<sup>[44]</sup>. Normal AFM height images (Fig 3.1(a) and Fig 3.1(c)) and AFM image is shown below (Fig. 3.1(b). The SEM results combined with the AFM measurements (height and phase data) indicate that the thin surface layer is a monolayer 6,13-pentacenequinone.

The height images showed step heights on the pentacene single crystal surface corresponding to Pen-Pen, Pen-PenQ monolayers and Pen-PenQ double layers (see Fig. 1.15). In bulk crystalline PenQ the stacking of subsequent unit-cell layers in the c direction normally shows steps consisting of two PenQ molecular layers, alternating with its molecular axis between  $+\phi^{\circ}$  or  $-\phi^{\circ}$  from the c directional axis (Fig. 3.1). The latter work by de Veen confirms that the surface shows irregular patches of PenQ. The phase

image of 3.1(b) shows that the molecular flat terraces show a contrast in the phase image, indicating the two different molecules.



**Figure 3.1(a):** Atomic force microscopy height image showing the surface of a pentacene single crystal. b) Atomic force microscopy phase image of the same location on the crystal. The inset shows the cross-section height of the sample at the (three) dotted lines.



**Figure 3.1(c):** Statistics of the AFM step height data. The data is taken from the dotted lines in the AFM height images, see *e.g.* inset 3.1.(a) [44]. Green, blue and grey color corresponds to 6,13-pentacenequinone monolayers, pentacene, and 6,13-pentacenequinone double-layers respectively. (P is pentacene, PQ is 6,13-pentacenequinone).

## **3.2** Heat treated pentacene single crystals

Although the heating procedure of the samples can take a very short time at high temperatures, it is not well controlled. Uncontrolled heating at too high temperature or too long duration leads to the degradation of the pentacene surface because the pentacene begins to sublimate from the surface, leaving behind features resembling potholes. Figure 3.2 shows the pit formation as imaged by AFM. Since the sublimation of pentacene and 6,13-pentacenequinone takes place under near identical conditions, a slow surface treatment is preferred.



**Figure 3.1(d):** Atomic force microscopy image showing the surface of a pentacene single crystal. The orange-white regions with a spotty appearance are pentacenequinone, the darker areas are the pentacene single crystal. (AFM image supplied by Peter de Veen. Image from sample 81 AFM series -3 (non-contact mode)) on pentacene single crystal surface, covered with pentacenequinone.



**Figure 3.2(a):** AFM image of a pentacene single crystal. The step heights analysis (not shown) indicate the presence of PenQ. b) Atomic force microscopy image showing potholes in the surface of a pentacene single crystal that was heat treated for a duration of two days at 85°C. The potholes cause a roughening of the pentacene surface. No 6,13-pentacenequinone was found on the surface after the heat treatment [44].

Atomic force microscopy performed by de Veen from IMS quantitatively determined the presence of PenQ on the surface of Pen crystals by directly measuring the height profiles. The SEM sublimation experiments already showed that a temperature of 82°C was a suitable temperature for the surface treatment. Pentacene samples were selected that showed the characteristic patches and a step height of 1.4 nm. After the heat treatment, no more 6,13-pentacenequinone was found.

Work by Jurchescu *et al* showed the presence of 1.8 nm thick bilayers of molecular sheets of 6,13-pentacenequinone. However, the presence of only 1.8 nm thick PenQ layers on the a-b crystal face in their samples does not exclude the possibility of other

molecular step heights. When the surface was investigated more closely, the step heights found by AFM, indicated the presence of other combinations of molecular stacking: *e.g.* Pen-Pen, Pen-PenQ. Atomic force microscopy cannot show the presence of a full monolayer coverage of PenQ of the pentacene surface because the step height will be identical, just translated in the c direction. AFM phase imaging can help to differentiate these difficult to interpret regions.

Although the preferred crystal growth direction is in the a-b plane, not all of the received crystals showed large flat a-b terraces. Smooth and large a-b terraces visible under the optical microscope were a good first indicator for high quality crystals, as characterized by scanning electron microscopy or AFM.

## 3.3 *In situ* heat treated pentacene single crystals

#### 3.3.1 SEM image analysis

In order to get better insight into the processes that govern the selective sublimation of the surface PenQ, a sequence of SEM images was taken. The image sequence showed the progress of disappearing surface features while the samples were heated to five different temperatures (82°C,88°C,91°C,100°C,118°C).



Figure 3.3: Illustration of the SEM imaging process. The front image is taken at time t, while the back image is taken at time  $t_0$ .

The samples were heated inside the electron microscope vacuum chamber by a heater of custom design. During the SEM imaging analysis, monolayers of 6,13pentacenequinone were assumed. Low contrast images were semi-automatically processed by the Image-Magick software and the Perl scripting language.

The number of black, blue, yellow and white pixels were counted by a Perl script. Each yellow pixel (sample IV) represents a surface area of 6,13-pentacene of  $5.7 \cdot 10^{-13}$  m<sup>2</sup>. Under the assumption that the surface is covered by a monolayer 6,13-pentacenequinone, one yellow pixel corresponds to  $7.21 \cdot 10^{-16}$  mg PenQ, or  $1.41 \cdot 10^{6}$  PenQ molecules. The

details of the calculation can be found in the spreadsheet in the appendix. Plotting the quantity of surface 6,13-pentacenequinone (normalized to  $1 \text{ m}^2$ ) over time yields a sublimation rate, by fitting a line to the data and taking the derivative.

The present work confirms that 6,13-pentacenequinone is present on the surface of the as-grown pentacene single crystals, preventing a good ohmic contacts between pentacene and metal (Au) electrodes. AFM data indicates the presence of monolayers of PenQ. Although not excluding multilayers of PenQ, this result indicates pentacene can be oxidized by oxygen from air combined with light when the crystals are stored in a nitrogen flushed cupboard.

Scanning electron microscopy at low electron beam energy combined with digital image processing was used to calculate the sublimation rate and energy of PenQ from the Pen surface. The image processing was done with the open-source software ImageMagick 6.4.8, controlled by a Perl script (ActivePerl 5.10.0).

## 3.3.2 Sublimation model 6,13 pentacenequinone

Sublimation is the solid-gas phase transition of a molecular compound. The Sublimation of 6,13-pentacenequinone from the samples surface takes place, rather than the evaporation, at the low partial pressure of 6,13-pentacenequinone in the vacuum while the sample is heated up.

Sublimation of molecular vd Waals compounds was modeled by the empirical Arrhenius equation 3.1 and plot (Fig. 3.4). The sublimation activation energy can be found by taking the slope from the data in the Arrhenius plot. The sublimation activation energy of pentacene and 6,13-pentacenequinone was reported in literature <sup>[62]</sup>. However, to the best knowledge of the author, no activation energy has been published on the sublimation process of a molecular layer of 6,13-pentacene from the surface of pentacene single crystals.

$$R = R_0 e^{-E_{sub/kbT}}$$
 Eq 3.1

R sublimation rate  $R_0$  sublimation rate at  $T=\infty^{\circ}C$   $E_{sub}$  sublimation activation energy [eV]  $k_b$  Boltzmann constant [eVK<sup>-1</sup>]

Figure 3.3 shows the decreasing amount of 6,13-pentacenequinone of a sample heated to 100 °C (See also Fig.2.4). The data of the other temperatures can be found in table 2.1. From each imaging sequence, the sublimation rate was calculated by this method. These natural logarithms of the sublimation rates were then plotted as a function of 1/T, to give the Arhhenius plot. The sublimation energy is calculated from the Arrhenius plot by taking the derivative, followed by rearranging Eq. 3.1, to give an sublimation activation energy of 0.85 eV. The intersection of the extrapolated linear fit to the Arrhenius data give a setpoint  $R_0$  of the sublimation rate. The setpoint  $R_0$  is directly proportionally to the assumed thickness of the sublimating layer.

As was already observed in the preliminary sublimation experiments, the sublimation temperature of the surface PenQ is lower than the reported literature values of bulk PenQ. The sublimation activation energy was calculated by quantitative image analysis of the SEM image sequences. The Arrhenius plot of the sublimation rates versus the inverse of the temperature showed an activation energy of 0.85 eV, significant lower than literature bulk value of 1.2 eV.

However, practical issues of the SEM sublimation rate monitoring experiments limit the accuracy of the obtained activation energy and more accurate measurement by other means than SEM are necessary to confirm the results.

Although calculation of  $R_0$  is of interest, the sublimation activation energy is the more interesting physical property in the application of surface cleaning. Fortunately, the assumption on the PenQ thickness does not effect the calculation of the actrivation energy; it only translates the linear fit of the Arrhenius plot in the y direction, keeping the derivative identical.

Figure 3.4 shows an Arrhenius plot using the data in table 2.1. The offset in sublimation rate-constant was determined by extrapolation of the linear fit in the plot to the intersection of the y-axis. The activation energy of the sublimation process was determined by taking the derivative of the linear fit and using the Arrhenius equation.



**Figure 3.4:** Arrhenius plot of the surface sublimation process. It is assumed that the surface layer consists of a mono layer of 6,13-pentacenequinone. The thickness of the assumed layer does only affect the  $R_0$  (offset) of the sublimation rate; the plot then translates in the y direction. The slope is not a function of the assumed layer thickness, and consequently, the calculated sublimation activation energy of the process does not depend of the layer thickness.

## 3.4 Discussion

The principal aim is the fabrication and characterization of single crystal pentacene field effect transistors (FET). The fabrication of field effect devices provides a viable way of getting information about the intrinsic properties of pentacene. The realization of the FET devices require suitable fabrication techniques. The FETs also require good starting materials in the form of well grown pentacene single crystals. In literature Pen powders are purified by a sublimation method. An analysis of the surface using sequences of SEM images resulted in a cleaning procedure and an estimation of the sublimation activation energy.

The activation energy that was determined from the SEM image analysis is lower than the (similar system) sublimation energy of pure PenQ, although not more then a factor of two. The exact cause is uncertain at this point because it was experimentally challenging to get suitable data from the experiments. More data points would help, but as mentioned, getting more data for the SEM image analysis is impractical. But qualitative, it is clear that the sublimation process proceeds more facile than the sublimation of pure PenQ as evidenced by the lower temperature needed and the high sublimation rate found of the surface layer.

## 3.5 Recommendations

It is recommended to setup an experiment that will monitor the surface of pentacene crystals as a function of the temperature with an AFM system.

It would be interesting to not only fabricate FETs on the single crystal surface and characterize them, but do a comparison of the performance of devices fabricated on the surface with untreated crystals and fabricate identical devices using the cleaned devices. Improvements are expected in a lowered contact resistance, but also in an increased mobility in the channel.

An interesting experiment would entail testing the energetic-disorder-sensitivity of the field effect mobility of devices fabricated on a-b planes compared to devices fabricated on the a-c/b-c planes. It is expected that the field effect mobilities of the devices fabricated on the a-c/b-c plane are slightly smaller than the a-b devices (both using highly purified single crystals). However, it is hypothized that the a-c FET devices will perform better with increased defect concentration. The applied electric field from the gate forces the charge carriers against the pentacene/insulator interface. But since the a-b planes now are parallel with the a-c plane exposed, charge carriers have more freedom of movement when facing an energy bump or hill. The carriers do not need to jump from and to different a-b molecular sheets.

# 4 Conclusions

The relevant literature on the organic semiconductor pentacene was reviewed. Pentacene has become an important model system for the fundamental studies on organic semiconductors. An introduction to organic semiconductor theory was presented (Ch. 1).

A cleaning procedure of the pentacene single crystals was proposed, based on the difference in sublimation behavior of the two main components, pentacene and 6,13-pentacenequinone (Ch. 2).

The surface of pentacene single crystals was characterized by SEM, at a low electron beam acceleration voltage (0.3 kV and 0.5 kV). (Ch. 3). The low electron beam energy insured that only the surface of the crystals was imaged. It was shown by SEM and AFM<sup>[44]</sup> that patches of varying thickness and area size were present on the as-received physical-vapor-grown pentacene single crystals. It was found that 6,13-pentacenequinone (PenQ) is present on the surface of these crystals, indicated by the molecular step heights measured by AFM.

The proposed cleaning method was successfully applied in removing the surface layer PenQ. The PenQ surface layer could be removed by heat-treating the pentacene single crystals samples in a vacuum, although the temperature range was found to be narrow.

An semi-automatic SEM image processing technique was developed to monitor the surface sublimation process more accurately. The sublimation rate was 75 nmol·h<sup>-1</sup>·m<sup>-2</sup> and 1933 nmol·h<sup>-1</sup>·m<sup>-2</sup> at 82°C and 118°C respectively. The samples were imaged by SEM while they were being heated inside the vacuum chamber of the SEM. An SEM sample heater was developed for the experiment. The sublimation activation energy of the cleaning process was determined (0.85 eV) using the same imaging data. A standardized cleaning procedure was recommended from these results.

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# Appendix

# A.1 Device fabrication

## a.1.1 Metal electrodes on pentacene single crystals

Fabrication was done using a soft-landing technique at a relative high argon or oxygen pressure in a Pulsed Laser Deposition system. The fabrication technique used in this work is the application of pulsed laser deposition (PLD) of the materials, deposited through a silicon/silicon nitride shadow mask. The shadow mask is also called a 'stencil'. Figure a.1 shows an optical and SEM photo of a pentacene single crystal with a metal/metal oxide electrode pattern, fabricated by PLD using stencil. The success rate of device fabrication is relatively low, as the crystals as well as the thin (500 nm) silicon nitride membranes are easily damaged. A certain amount of force has to be applied to the stencil membranes to insure a good fit to the crystal surface. A large gap between the crystal surface and the stencil causes a broadening of the deposited pattern. The broadening is caused by gas flow creeping between the shaded parts and the crystal surface.

An integrated setup consisting of a scanning electron microscope (JEOL 6490), a nanomanipulator (Zyvex S100) and a source measure unit (Keithley 4200) was used to characterize the fabricated devices. This setup allowed to accurately characterize fragile devices without the need for wire bonding.

## a.1.2 Metal oxide gate pattern on pentacene single crystals

## a.2 Tools fabrication

## a.2.1 Carbon nanoprobe tips

A copper wire with a diameter of 0.25 mm is cut to approximately 20 mm length. The end of the copper wire is flattened and a single graphite fiber ( $\sim 10\mu x 10mm$ ) is attached to the flattened end by Ag glue.



**Figure a.1(a):** SEM image of the fabricated carbon nanoprobe tips touching a pentacene single crystal. b) SEM image of tungsten electrode tips hovering over a pentacene single crystal. The W electrodes are the standard electrodes of the Zyvex s100 system. A damages (bent) tip is visible on the right electrode. Also visible in the b) image is the sample heater (dotted lines).

Replacement of tungsten probe tips with carbon fiber tips to protect the fragile pentacene surface and thin film Au contacts.

carbon fiber nanoprobe tips were coated with 50 nm Au by Pulsed Laser Deposition. These flexible tips showed improved electrical contact characteristics over the non-Au coated flexible carbon fiber tips.

## a.2.2 Scanning electron microscope sample heater



Figure a.3: Scanning electron microscope sample heater. The heater is indicated by the arrow.

A dual heater design was implemented for an increased sample area as well as the introduction of a temperature gradient functionality over the sample. In the present case, the samples itself proved to be too inhomogeneous for this functionality to be practically applied. Controlling the amount of electrical power to either one mini heater was performed by a user script on the keithley 4200-scs.

The pentacene single crystal samples were held in place by Ag suspension glue to a 2x4mm Si substrate. During the temperature calibration a blank Si substrate was attached on the heater. The surface temperature of the SEM sample heater was calibrated by comparing the temperature values calculated from the I-V data of the Pt heater elements against the temperature readings recorded with a thermocouple glued in place with Ag-suspension-glue. Figure **a.4** and table **a.1** both show the calibration data. Until otherwise noted, the temperatures mentioned in the text are corrected by this table.



**Figure a.4:** Calibration curve of the scanning electron microscope sample heater, based on the HA 421 platinum temperature sensors, kindly provided by Joska Broekmaat. X-axis denote the temperature as calculated from the I-V heater element data. Y-axis show the surface temperature on the silicone substrate measured by a thermocouple.

**Table a.1:** Calibration curve data showing the difference in calculated and measured temperature in the third column.

Sample heater [°C]	Thermocouple [°C]	ΔT [°C]
68	57	11
81	69	12
111	97	14
132	117	15
Note: The linear fit equation is T	-0.0272 T (9400	

Note: The linear fit equation is  $T_{heater} = 0.9372 \cdot T_{thermocouple} - 6.8409$ 

#### a.2.3 Flexible polymer masks

The broadening of the patterns can prevented by using a polymer foil based stencil instead of the silicon nitride stencil. The suitability of the polymer stencils is based on the elasticity and plasticity of polymers. The foils prevent damage to the fragile pentacene surface. The pattern was fabricated into the polymer foils (Kaptop<sup>TM</sup>) by using pulsed laser ablation. These same flexible stencils formed the bases to prototype of an automized mask positioner can save time and exclude the influence of the ambient atmosphere when the samples are taken out of the system during stencil change. Multiple aligned patterns are necessary for the fabrication of a field effect transistor on the surface of the crystals.

The mask patterns were ablated into (6-8) micron thick polyimide films by using a stainless steel YAG-laser cut mask template of larger dimensions, and positioning its image onto the polyimide foils. Polyimide foils was selected as it has (i) a high melting point, allowing patterning at the micrometer scale and (ii) has a high absorbance for

ultraviolet wavelengths, making sure that sufficient energy is absorbed for ablation to take place. Ablation was performed in air, and soot deposition was visible around the ablated patterns. Initial test with polyethylene foils showed no ablation of the surface, and only after long and sustained KrF laser irradiation the pattern was visible in the polymer, but showed much melting and gas bubble entrapment. No functioning masks could be obtained by using the polyethylene foils.

Flexible masks were designed and fabricated. A mask set was first laser-cut in stainless-steel thin sheet by a Nd:YAG laser cutter. Then, the pattern was transferred into kapton foil (6-8  $\mu$ m) by pulsed laser ablation using an KrF laser system. Best results were obtained by setting the beam intensity low (U~17kV) and accurately placement of the capton foil into the focal point of the stainless-steel mask/lens setup.

## a.2.4 Mask positioner



**Figure a.5:** Photographs showing the mask positioner. Top left: top view. Top right: side view. Bottom left: underside. Bottom right: front view, showing the connectors that were used to control the electronics and servo motor.

A mask positioner was designed and fabricated. The first version showed good mechanical tolerances and positional reproducibility. However, overheating caused malfunction of the applied servo motor actuator. A second design solved the heating problems by placing the servo-motor and it controlling electronics into thermal contact with the bulk metal casing of the heater positioner. The small design change had the unwanted side effect of an increase in the positional error, leading to unacceptable large errors in mask placements. A third design iteration, correcting the newly appeared positional error, was not implemented because of time constraints.

#### In situ device fabrication with the mask positioner

The design goal was to have the mask positioner functionality without having to modify the electrical connector on the Masif PLD system, and thereby making the mask positioner compatible with any of the PLD systems that make use of the standardized 3pin connectors. Electrical connection backwards compatible with the existing mechanical connectors. Preventing (re)oxidation of the pentacene surface, and increasing the workflow efficiency. Preparation is in progress to do all the necessary patterning steps *in situ* by using a new mask positioning system.

A new flexible mask positioning technique was developed to prevent damage to the fragile pentacene single crystals, as well as substantially decrease the gap between the mask and substrate normally encountered when using conventional Si/SiNx or metal masks. It was noted that the crystals had tendency to break, particularly in the a-b direction. The fragile pentacene crystals motivated the development of novel ways in crystal handling and device fabrication.

## a.3 SEM image processing

#### a.3.1 Perl script

The Perl script reads the input SEM images from the /input/ folder and puts the processed images under /output/. In the same output folder, the Perl script creates a .csv file containing the individual file names, pixel count and time stamps

#### Filename: Convert.pl

```
use strict;
 use Image::Magick;
                              # Image manipulatie lib
 use Win32::FileTime; # Lib voor het uitlezen van informatie over
tijden die opgeslagen zijn in een bestand (creation, modify etc).
 # Directory namen voor input en output. Alle files in input worden als
bronbestand opgepikt, geconverteerd en daarna in de output dir
weggeschreven na bewerking.
 # Deze mappen moeten bestaan, anders werkt het niet!
 my $inputdir = "input";
 my $outputdir = "output";
 # En dit bepaald dan hoe groot het gebied moet worden. Als je het hele
plaatje wilt hebben zet je deze op de afmetingen van de plaatjes (2560
en 1920).
 my crop x size = 474;
 my $crop y size = 275;
 # Crop X start, Crop Y start is vanaf waar in het plaatje je wilt
knippen. Als je het hele plaatje zou willen hebben zet je deze op 0, 0
 my crop x start = 210;
 my \ scrop y start = 100;
```

```
# Haal een lijst op van de bestanden uit de input directory.
 my @files = (
 );
 opendir ( DIR, $inputdir ) || die "Error in opening dir $inputdir\n";
 while (my $filename = readdir(DIR)) {
      push @files, $filename;
 }
 closedir(DIR);
 # Open het CSV bestand waar we de uitgelezen waardes in gaan opslaan,
en schrijf de koppen definitie er in.
 open CSV, "> " . $outputdir . "/fileinfo.csv";
 print CSV
'"filename"; "blacks"; "blues"; "yellows"; "whites"; "modifyyear"; "modifymon
th"; "modifyday"; "modifyhour"; "modifyminute"; "modifysecond"' . "\n";
 # Loop over alle inputbestanden heen, en doe je ding.
 foreach my $file (@files) {
      my $filename = $inputdir . '/' . $file;
      print "Processing $filename\n";
      # We slaan directories over, en gaan alleen aan de slag met
bestanden uit de input map.
      if (-d $filename) {
            next;
      }
      # Maak het image magick object aan, en lees het invoerbestand
      my $image = Image::Magick->new;
      $image->Read($filename);
      # Lees de tijdinformatie uit het bestand.
      my $filetime = Win32::FileTime->new( $filename );
      my @modify = $filetime->Modify(
          'year',
           'month',
           'day',
          'hour',
          'minute',
          'second'
      );
      # Image Magick bewerkingen om het plaatje om te vorm en meetbaar
te maken.
      $image->Blur(radius => 10, sigma => 2);
      #$image->Contrast(sharpen => 0);
      $image->Contrast(sharpen => 0);
      $image->Quantize(colorspace => 'Gray', colors => 4, dither => 0);
      $image->Crop(geometry=>$crop x size . 'x' . $crop_y_size . '+' .
$crop_x_start . '+' . $crop_y_start);
      # Haal histogram informatie van de afbeelding. Bepaal aan de hand
van de waardes de huidige kleurwaardes die we willen converteren naar
zwart, blauw, geel en wit.
      my @histogram = $image->Histogram();
```

```
my $black = "#" . sprintf("%4x", $histogram[0]) .
sprintf("%4x", $histogram[1]) . sprintf("%4x", $histogram[2]) .
sprintf("%4x", $histogram[3]);
       my $blue = "#" . sprintf("%4x", $histogram[5]) .
sprintf("%4x", $histogram[6]) . sprintf("%4x", $histogram[7]) .
sprintf("%4x", $histogram[8]);
      my $yellow = "#" . sprintf("%4x", $histogram[10]) .
sprintf("%4x", $histogram[11]) . sprintf("%4x", $histogram[12]) .
sprintf("%4x", $histogram[13]);
      my $white = "#" . sprintf("%4x", $histogram[15]) .
sprintf("%4x", $histogram[16]) . sprintf("%4x", $histogram[17]) .
sprintf("%4x", $histogram[18]);
       # Converteer de grijstinten naar zwart, blauw, geel en wit.
       $image->Opaque(color => $black, fill => "black");
       $image->Opaque(color => $blue, fill => "blue");
       $image->Opaque(color => $yellow, fill=> "yellow");
       $image->Opaque(color => $white, fill=> "white");
       # Schrijf het geconverteerde bestand weg naar de output
directory. Deze krijgt dezelfde naam die het inputbestand heeft voor
latere referentie.
      $image->Write(filename => $outputdir . "/" . $file);
       # Schrijf de informatie over deze afbeelding naar de CSV.
      print CSV '"' . $file . '";'; # filename
      print CSV '"' . $histogram[4] . '";';
                                                      # blacks
                                                    # blues
      print CSV '"' . $histogram[9] . '";';
      print CSV '"' . $histogram[14] . '";'; # yellows
      print CSV '"' . $histogram[19] . '";';
                                                       # whites
      print CSV ''' . $histogram[19] . '';'; # whites
print CSV ''' . $modify[0] . '";'; # modification year
print CSV ''' . $modify[1] . '";'; # modification month
print CSV ''' . $modify[2] . '";'; # modification day
print CSV ''' . $modify[3] . '";'; # modification hour
print CSV ''' . $modify[4] . '";'; # modification minutes

      print CSV '"' . $modify[5] . '"'. "\n"; # modification seconds
 }
```

# Sluit het CSV bestand en schrijf de gegevens weg.
close CSV;



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